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ArticleTitle	Efficacy of smartphone-based retinal photography by undergraduate students in screening and early diagnosing diabetic retinopathy	
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Article Sub-Title		
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Article CopyRight	The Author(s) (This will be the copyright line in the final PDF)	
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Journal Name	International Journal of Retina and Vitreous	
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Schedule	Received	4 Mar 2022
	Revised	
	Accepted	23 May 2022

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Abstract

*Background:*

To evaluate the efficacy of retinal photography obtained by undergraduate students using a smartphone-based device in screening and early diagnosing diabetic retinopathy (DR).

*Methods:*

We carried out an open prospective study with ninety-nine diabetic patients (194 eyes), who were submitted to an ophthalmological examination in which undergraduate students registered images of the fundus using a smartphone-based device. At the same occasion, an experienced nurse captured fundus photographs from the same patients using a gold standard tabletop camera system (Canon CR-2 Digital Non-Mydriatic Retinal Camera), with a 45° field of view. Two distinct masked specialists evaluated both forms of imaging according to the presence or absence of signs of DR and its markers of severity. We later compared those reports to assess agreement between the two technologies.

*Results:*

Concerning the presence or absence of DR, we found an agreement rate of 84.07% between reports obtained from images of the smartphone-based device and from the regular (tabletop) fundus camera; Kappa: 0.67; Sensitivity: 71.0% (Confidence Interval [CI]: 65.05–78.16%); Specificity: 94.06% (CI: 90.63–97.49%); Accuracy: 84.07%; Positive Predictive Value (PPV): 90.62%; Negative Predictive Value (NPV): 80.51%. As for the classification between proliferative diabetic retinopathy and non-proliferative diabetic retinopathy, we found an agreement of 90.00% between the reports; Kappa: 0.78; Sensitivity: 86.96% (CI: 79.07–94.85%); Specificity: 91.49% (CI: 84.95–98.03%); Accuracy: 90.00%; PPV: 83.33%; NPV: 93.48%. Regarding the degree of classification of DR, we found an agreement rate of 69.23% between the reports; Kappa: 0.52. As relating to the presence or absence of hard macular exudates, we found an agreement of 84.07% between the reports; Kappa: 0.67; Sensitivity: 71.60% (CI: 65.05–78.16%); Specificity: 94.06% (CI: 90.63–97.49%); Accuracy: 84.07%; PPV: 90.62%; NPV: 80.51%.

*Conclusion:*

The Smartphone-based device showed promising accuracy in the detection of DR (84.07%), making it a potential tool in the screening and early diagnosis of DR.

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Keywords (separated by '- ') Diabetic retinopathy - Retina - Early diagnosis - Telemedicine - Ophthalmological diagnosis techniques - Low cost technology

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Footnote Information

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1 ORIGINAL ARTICLE

Open Access



2 Efficacy of smartphone-based retinal  
3 photography by undergraduate students  
4 in screening and early diagnosing diabetic  
5 retinopathy

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7 Miguel V. S. Frasson<sup>2</sup>, Daniel Ferraz<sup>3</sup>, Victor Koh<sup>4</sup> and Rodrigo Jorge<sup>1\*</sup>

8 **Abstract**

9 **Background:** To evaluate the efficacy of retinal photography obtained by undergraduate students using a smart-  
10 phone-based device in screening and early diagnosing diabetic retinopathy (DR).

11 **Methods:** We carried out an open prospective study with ninety-nine diabetic patients (194 eyes), who were submit-  
12 ted to an ophthalmological examination in which undergraduate students registered images of the fundus using a  
13 smartphone-based device. At the same occasion, an experienced nurse captured fundus photographs from the same  
14 patients using a gold standard tabletop camera system (Canon CR-2 Digital Non-Mydriatic Retinal Camera), with a 45°  
15 field of view. Two distinct masked specialists evaluated both forms of imaging according to the presence or absence  
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18 **Results:** Concerning the presence or absence of DR, we found an agreement rate of 84.07% between reports  
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28 **Conclusion:** The Smartphone-based device showed promising accuracy in the detection of DR (84.07%), making it a  
29 potential tool in the screening and early diagnosis of DR.

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	Journal : <b>BMCTwo 40942</b>	Dispatch : <b>27-5-2022</b>	Pages : <b>9</b>
	Article No : <b>388</b>	<input type="checkbox"/> LE	<input type="checkbox"/> TYPESET
	MS Code :	<input checked="" type="checkbox"/> CP	<input checked="" type="checkbox"/> DISK

**Keywords:** Diabetic retinopathy, Retina, Early diagnosis, Telemedicine, Ophthalmological diagnosis techniques, Low cost technology

## Background:

Diabetic retinopathy (DR) is one of the most important complications of Diabetes Mellitus (DM) and its incidence is intrinsically related to the duration of the disease and level of glycemic control. [1] Recent reports from the World Health Organization suggest that DR is the cause of visual impairment for 4.2 million people, representing the fifth leading cause of visual impairment and the fourth leading cause of blindness in the world [2]. Early diagnosis of DR allows for intervention that effectively reduces its progression to more severe states [1]. Nevertheless, ophthalmologic follow up for diabetic patients faces severe barriers deriving from the expensiveness of current diagnostic technology and its difficulties of implementation. [3]

Patients with type 1 DM are suggested to undergo ophthalmologic evaluation at puberty or within five years of disease, whereas patients with type 2 DM should be evaluated immediately after being diagnosed. [5] Seven-field stereoscopic photography (gold standard) and ophthalmological examination are admissible methods in the assessment of DR, however, photography shows greater diagnostic sensitivity than clinical examination [6]. Clinical examination is usually performed through direct ophthalmoscopy, but its sensitivity is reduced by 50% when performed by clinicians not experienced in detecting DR and without pharmacological mydriasis [6]. As a consequence, telemedicine systems based on digital photographs of the fundus have become increasingly popular, as they allow for assessment of the images by a remotely located ophthalmologist. The diagnostic accuracy of telemedicine using digital images has proven itself to be high and cost-effective in DR screening [3].

In recent years, smartphone adapters for fundus photography have been progressively developed and presented promising results when compared to the reference standards [7][8][9]. Smartphones can be used to register fundus images either serving as slit lamp adapters, as well as integrating direct or monocular indirect ophthalmoscopy settings. [10] In that sense, smartphone-based devices could facilitate earlier detection of DR due to the additional conveniences of portability, easy handling, low cost and the possibility of directly sharing the obtained images with remotely located specialists.

Different professionals are capable of obtaining retinal fundus photographs through smartphone-based methods. Nonetheless, most of the available studies involved the participation of experienced technicians for obtaining

the images [7][8][9]. In this study, images of the fundus registered through the smartphone-based device were captured by undergraduate medicine and nursery students who had no previous experience in retinal imaging. Our aim was to assess the method when applied to a realistic scenario, where this technology would be handled by general physicians and nurses with no previous experience in eye imaging, in a context of primary healthcare.

## Materials and methods

### Patients and ethics

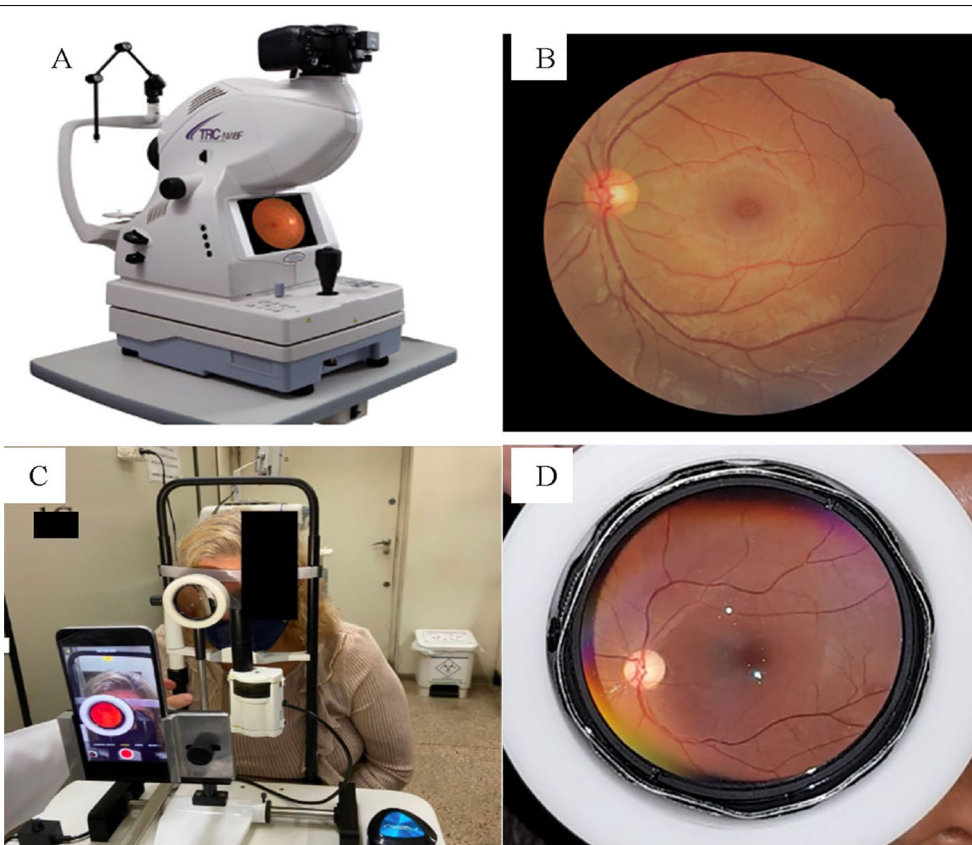
We conducted a prospective, open study, collecting data from 116 diabetic patients (231 eyes) at the diabetic retinopathy screening clinic of Hospital das Clínicas de Ribeirão Preto (HC-FMRP-USP), a high complexity general hospital in Brazil. The project was previously approved by the institution's ethics committee. We included diabetic patients followed up at the hospital who were 18 years old or older and voluntarily agreed to participate in the study. We excluded patients/eyes that presented media opacity, such as cataracts or corneal opacities, and patients who were not able to collaborate with fundus examination, such as those with intense photophobia that could not stay with the eyes open during documentation.

All 116 patients had both eyes examined, except for one who had only one eye. Data from only 97 patients (194 eyes) were included in the study. Thirty-seven eyes were excluded—33 eyes were excluded due to data loss in the HC-FMRP-USP digital medical files system, 3 eyes were excluded due to the presence of cataracts, which prevented the visualization of the fundus, and 1 eye was excluded due to patient photophobia.

### Ophthalmological evaluation

During their appointment for diabetic retinopathy evaluation, patients in the study underwent two types of assessments: one being standard seven field color stereoscopic photography of the fundus captured by an experienced nurse through a tabletop fundus camera (Canon CR-2 Digital Non-Mydriatic Retinal Camera—demonstrated on Fig. 1A and B, along with an example of image obtained), and the other being a video documentation of the fundus registered by undergraduate medicine and nursery students through a smartphone-based device (Fig. 1C, and D) shows the exact utilized device and an example of image obtained). Five images were obtained from each eye fundus using the tabletop camera: (1)





**Fig. 1** A shows the tabletop fundus camera (Canon CR-2 Digital Non-Mydriatic Retinal Camera) and the corresponding color fundus picture of the posterior pole (B). C shows the smartphone based device used and the corresponding color fundus image captured from the video (D). Images do not depict the same patient

126 image centered on the fovea, (2) Temporal retina; (3)  
 127 Nasal Retina; (4) Superior retina; (5) Inferior retina. The  
 128 undergraduate students who participated in the study  
 129 were enrolled in the courses of Medicine or Nursery at  
 130 the Ribeirão Preto Medical School (University of São  
 131 Paulo) and had no previous experience in eye imaging of  
 132 any sort.

133 **Smartphone color fundus documentation**

134 All four participating students received standardized  
 135 training from an experienced ophthalmologist, who  
 136 presented the device and explained how to handle it, in  
 137 addition to monitoring the recording of the first 10 vid-  
 138 eos. For the smartphone-based examination, the students  
 139 captured a high-definition video of the fundus, lasting  
 140 around two minutes each, using a device that consisted  
 141 of an iron support where a smartphone (in this study,  
 142 an Apple Iphone 6<sup>®</sup> or a Samsung Galaxy S8<sup>®</sup>) was  
 143 attached to one side and a 20 D lens was attached to the  
 144 other side. The device also had an iron adapter on the  
 145 bottom that allowed its attachment to a slit lamp table.  
 146 This made image acquisition easier as the patient's head

remained fixed by the chin rest, facilitating handling of  
 the camera and adjusting its focus (Fig. 1C and D). Nothing  
 but the inbuilt camera software of each smartphone  
 were used to register the images. The smartphone's own  
 flash light was kept on and served as illumination for the  
 entire recording. All the included patients underwent  
 pharmacological mydriasis prior to the exam. After pos-  
 terior pole focus was obtained, recording was started and  
 the patient was asked to look into five directions in the  
 following order: (1) Straight ahead; (2) Temporally; (3)  
 Nasally; (4) Superiorly and (5) Inferiorly.

**Image analysis by masked retina specialists**

Images obtained by each method were saved on cloud  
 storage (Google Drive<sup>®</sup>) in a randomized manner and  
 organized by codes. Posteriorly, two independent masked  
 specialists assessed each image individually and classi-  
 fied their findings according to the Airlie-House modi-  
 fied scale [4] (0—Absence of Retinopathy; 1—Minimal  
 non-proliferative diabetic retinopathy [NPDR]; 2—Mild  
 NPDR; 3—Moderate NPDR; 4—Severe NPDR; 5—Very  
 severe NPDR; 6—Proliferative diabetic retinopathy (PDR)

168 with no high risk signs; 7—PDR with high risk signs; 8—  
 169 Advanced PDR; 9—Classification not possible) and also  
 170 according to the presence or absence of hard macular  
 171 exudates, utilized here as a surrogate marker for diabetic  
 172 macular edema. After each individual analysis, the special-  
 173 ists reported the results in an online form created  
 174 specifically for that purpose on Google Forms®. Both  
 175 masked specialists independently evaluated and classified  
 176 all 194 images generated by the standard fundus camera  
 177 and then evaluated and classified all 194 videos generated  
 178 by the smartphone-based method. All images and vid-  
 179 eos had been completely randomized and identified only  
 180 by a code, making it impossible for them to identify any  
 181 patient information. In the same manner, specialist num-  
 182 ber 1 had no access to the reports produced by special-  
 183 ists number 2 and vice-versa. A third specialist was asked  
 184 to evaluate cases where there was disagreement between  
 185 the specialists 1 and 2 (Fig. 2).

186 **Statistical analysis**

187 Finally, we calculated the agreement rate, kappa correla-  
 188 tion index, sensitivity, specificity and disagreement (false  
 189 positives and false negatives) of the reports deriving from  
 190 the smartphone-based method as compared to those  
 191 deriving from the gold standard tabletop fundus cam-  
 192 era system, as well as interobserver agreement between  
 193 specialists for each method as further detailed ahead.



Fig. 2 Side view of the smartphone-based device used in the study

Calculations were performed using the numerical calcu-  
 lation software GNU Octave®.

**Results**

**Demographics**

Participants had a mean age of 70.5±9.6 years. Self-  
 declared racial demographic was of 73.3% White; 10.1%  
 Black and 16.2% Brown. Enrolled patients had a previous  
 diagnosis of type 1 DM in 45.5% of cases, and of type 2  
 DM in 54.5% of cases (Table 1).

**Presence or absence of DR**

Regarding the presence or absence of DR, agreement  
 between the two independent evaluators of the images  
 (Interobserver) from the smartphone-based device was  
 88.6% with Kappa of 0.75. As for the gold standard fundus  
 photograph, interobserver agreement was 90.48%, with  
 Kappa of 0.81. Considering reports from the first evalu-  
 ator (Intraobserver 1), analysis of the Smartphone-based  
 device in comparison with the gold standard obtained  
 the agreement of: 82.63%; Kappa: 0.64; Sensitivity:  
 66.67% (Confidence Interval—CI: 59.96–73.37%); Speci-  
 ficity: 95.28% (CI: 92.27–98.30%); Accuracy: 82.63%; Pos-  
 itive predictive value: 91.80%; Negative predictive value:  
 78.29%. Considering reports from the second evaluator  
 (Intraobserver 2), smartphone-based device compared  
 to the gold standard showed an agreement of 79.69%;  
 Kappa: 0.60; Sensitivity: 71.29% (CI: 64.89% -77.69%);  
 Specificity: 89.01% (CI: 84.59%–93.43%); Accuracy:  
 79.69%; Positive predictive value: 87.80%; Negative pre-  
 dictive value: 73.64%. These data are depicted in Tables 2  
 and 3.

**Proliferative vs non-proliferative DR**

Concerning the classification between proliferative dia-  
 betic retinopathy and non-proliferative diabetic retin-  
 opathy, interobserver agreement of the images from the

**Table 1** Demographic data concerning all 99 patients included in the study

Demographics	
Number of patients	99
Male	40 (40.4%)
Female	59 (56.9%)
Race (self-declared)	
White	73 (73.7%)
Black	10 (10.1%)
Brown	16 (16.2%)
Patients with a previous diagnosis of type 1 DM	45 (45.5%)
Patients with a previous diagnosis of type 2 DM	54 (54.5%)

**Table 2** Frequency of diagnoses comparing the degree of retinopathy as determined by the smartphone-based device and the gold standard

Severity of DR (Smartphone-based device)	Severities of DR (Smartphone-based device)										TOTAL
	Absent RD	Minimal NPDR	Mild NPDR	Moderate NPDR	Severe NPDR	Very severe NPDR	PDR without signs of high risk	PDR with signs of high risk	Advanced PDR	TOTAL	
Absent RD	84	16	14	2	0	0	0	0	0	0	116
Minimal NPDR	1	0	2	0	0	0	0	0	0	0	3
Mild NPDR	3	0	11	2	1	0	2	0	0	0	19
Moderate NPDR	1	0	3	7	2	0	1	0	0	0	14
Severe NPDR	0	0	0	0	4	0	0	1	0	0	5
Very severe NPDR	0	0	0	0	0	0	0	1	0	0	1
PDR without signs of high risk	0	0	0	1	0	0	16	0	0	0	17
PDR with signs of high risk	0	0	0	0	0	0	2	2	1	1	5
Advanced PDR	0	0	0	0	0	0	0	0	2	2	2
TOTAL	89	16	30	12	7	0	21	4	3	3	182

DR Diabetic retinopathy, NPDR non-proliferative diabetic retinopathy, PDR proliferative diabetic retinopathy





**Table 3** Sensitivity and specificity of smartphone-based device ocular fundus images according to diabetic retinopathy severity scale

	Sensibility (95% CI)	Specificity (95% CI)
Absent RD	0.94 (0.87–0.98)	0.66 (0.55–0.75)
Minimal NPDR	0.00 (0.01–0.24)	0.98 (0.94–1.00)
Mild NPDR	0.37 (0.21–0.56)	0.95 (0.90–0.98)
Moderate NPDR	0.58 (0.29–0.84)	0.96 (0.91–0.98)
Severe NPDR	0.57 (0.20–0.89)	0.99 (0.96–1.00)
Very severe NPDR	*	0.99 (0.97–1.00)
PDR without signs of high risk	0.76 (0.52–0.91)	0.99 (0.96–1.00)
PDR with signs of high risk	0.50 (0.09–0.92)	0.98 (0.95–1.00)
Advanced PDR	0.67 (0.13–1.00)	1.00 (0.97–1.00)

DR diabetic retinopathy, CI confidence interval, NPDR non-proliferative diabetic retinopathy, PDR proliferative diabetic retinopathy

\* There was no diagnosis of very severe NPDR by the gold standard method, so there is no calculation for sensitivity

228 smartphone-based device was 94.83%, with Kappa of  
 229 0.89; and in the gold standard images the interobserver  
 230 agreement was 92, 50%, with Kappa of 0.83. Intraob-  
 231 server 1: smartphone-based device analysis compared to  
 232 gold standard images demonstrated agreement: 89.47%;  
 233 Kappa: 0.78; Sensitivity: 93.94% (CI: 97.74–100.13%);  
 234 Specificity: 83.33% (CI: 73.66–93.01%); Accuracy:  
 235 89.47%; Positive predictive value: 88.57%; Negative pre-  
 236 dictive value: 90.91%. Intraobserver 2: analysis of the  
 237 smartphone-based device in comparison with the gold  
 238 standard images showed agreement: 90.72%; Kappa:  
 239 0.81; Sensitivity: 94.44% (CI: 88.83–100.06%); Specificity:

85.71% (CI: 77.14–94.29%); Accuracy: 90.62%; Posi-  
 tive predictive value: 89.47%; Negative predictive value:  
 92.31%. These data are shown in Tables 2 and 4.

**Classification of severity**

For the analysis of the classification of severity of DR, when specialists differed by only one class, we considered only the most severe classification. In this case, interobserver agreement found in the images of the smartphone-based device was 83.94%, and Kappa: 0.76. In the gold standard images, interobserver agreement was 90.67%, and Kappa: 0.87. Intraobserver 1: agreement of the reports obtained by the smartphone-based images in comparison with those coming from the gold standard was 86.01% and kappa: 0.77. Intraobserver 2: agreement of the reports obtained by the smartphone-based images in comparison with those coming from the gold standard was 87.56% and Kappa: 0.82.

Considering a tolerance of up to two classes of divergence, agreement found in the interobserver comparison of the images obtained by the smartphone-based device was 93.78%, and Kappa: 0.90. Interobserver comparison of the images obtained by the gold standard was 94.30%, and Kappa: 0.92. Intraobserver 1: agreement of the reports obtained by the smartphone-based images in comparison with those coming from the gold standard was 97.93%, and Kappa: 0.97. Intraobserver 2: agreement of the reports obtained by the smartphone-based images in comparison with those coming from the gold standard was 97.41%, and Kappa: 0.96 (Table 5).

**Table 4** Values of interobserver and intraobserver agreement when the presence or absence of DR

	Agreement on the presence or absence of diabetic retinopathy
Interobserver Agreement (smartphone based device)	88.6% (Kappa 0.75)
Interobserver Agreement (gold standard)	90.48% ( Kappa 0.81)
Intraobserver Agreement 1 ( device x gold standard)	82.63% (Kappa 0,64)
Intraobserver Agreement 2 (device x gold standard)	79.69% (Kappa 0,60)

**Table 5** Interobserver and intraobserver agreement values for the presence of proliferative or non-proliferative DR

	Agreement in classification between proliferative and non-proliferative diabetic retinopathy
Interobserver Agreement (smartphone based device)	94.83% ( Kappa 0.89)
Interobserver Agreement (gold standard)	92.50% (Kappa 0.83)
Intraobserver Agreement 1 (device x gold standard)	89.47% ( Kappa 0.78)
Intraobserver Agreement 2 (device x gold standard)	79.69% ( Kappa 0.60)

269 **Hard macular exudates**  
 270 Considering the presence or absence of hard macular  
 271 exudates, agreement of the reports obtained by  
 272 the smartphone-based images in comparison with  
 273 those coming from the gold standard was 84.07%, with  
 274 Kappa of: 0.67; Sensitivity: 71.60% (confidence inter-  
 275 val—CI: 65.05–78.16%); Specificity: 94.06% (confi-  
 276 dence interval—CI: 90.63–97.49%); Accuracy: 84.07%;  
 277 Positive predictive value: 90.62%; Negative predictive  
 278 value: 80.51%.

279 **Final analysis**  
 280 In order to obtain a final analysis between the two  
 281 methods, results from the two specialists were joined.  
 282 On reports from both the smartphone-based and the  
 283 conventional tabletop camera methods, when the clas-  
 284 sification attributed by the specialists was consensual  
 285 in their analysis, the data was kept; when there was  
 286 no consensus, a third independent masked special-  
 287 ist assessed and assigned the final analysis. With this  
 288 approach, the number of included eyes dropped to  
 289 182, as the third specialist classified 12 eyes that were  
 290 not in consensus among the first specialists as “not  
 291 possible to classify”, and they were excluded from the  
 292 final analysis.

293 Therefore, taking into account the result from the  
 294 consensus obtained, in relation to the presence or  
 295 absence of DR, the final agreement between the images  
 296 of the two methods was 84,07%, with Kappa of 0.67;  
 297 Sensitivity: 71.0% (confidence interval—CI: 65.05–  
 298 78.16%); Specificity: 94.06% (confidence interval—CI:  
 299 90.63–97.49%); Accuracy: 84.07%; Positive predictive  
 300 value: 90.62%; Negative predictive value: 80.51%.

301 As for the classification between proliferative dia-  
 302 betic retinopathy and nonproliferative diabetic retin-  
 303 opathy, final agreement between the images from the  
 304 smartphone-based device and those from the gold  
 305 standard was 90.00%; with Kappa of: 0.78; Sensitiv-  
 306 ity: 86.96%; (confidence interval—CI: 79.07–94.85%);  
 307 Specificity: 91.49% (confidence interval—CI: 84.95–  
 308 98.03%); Accuracy: 90.00%; Positive predictive value:  
 309 83.33%; Negative predictive value: 93.48%.

310 Regarding the classification of severity of DR, to  
 311 obtain a final result, when the specialists differed  
 312 by only 1 class, the most severe classification was  
 313 assigned, when they differed by up to 2 classes, a third  
 314 independent masked specialist performed the analy-  
 315 sis and attributed the final classification. Therefore,  
 316 agreement of the reports obtained by the smartphone-  
 317 based images in comparison with those coming from  
 318 the gold standard was 69.23% with Kappa of: 0.52.

**Discussion**  
 319  
 320 Our study was able to verify that retinal images obtained  
 321 by undergraduate students using a smartphone-based  
 322 device showed satisfactory performance when compared  
 323 to the reference standard for the diagnosis of DR.

324 Recent studies suggest that the diagnostic accuracy of  
 325 telemedicine using digital images in DR is, in general,  
 326 high. Sensitivity of telemedicine exceeded 80% in detect-  
 327 ing the absence of DR, low- or high-risk proliferative  
 328 diabetic retinopathy (PDR), it exceeded 70% in detecting  
 329 mild or moderate non-proliferative diabetic retinopathy  
 330 (NPDR) [3]. The high sensitivity of its detection of any  
 331 clinical level of DR indicates that telemedicine could be  
 332 widely used for DR screening [3]. Portable devices for  
 333 eye fundus image acquisition have shown high levels of  
 334 agreement with traditional tabletop retinal cameras for  
 335 the detection and follow-up of DR [7]. However, the latter  
 336 tend to perform better compared to smartphone-based  
 337 devices like the one reported in this study. Russo et al. [8]  
 338 compared biomicroscopy to a device (D-EYE®) that turns  
 339 the smartphone into a portable fundus camera by using  
 340 its own constitutional camera and LED light. The study  
 341 reported substantial agreement between the methods,  
 342 with sensitivity and specificity of 0.89 and 1.0, respec-  
 343 tively, to detect proliferative DR; and of 0.89 and 1.0,  
 344 respectively, to detect macular edema. Toy et al. [9], eval-  
 345 uated the photographs obtained by a smartphone-based  
 346 device (Paxos Scope®), attached to a 20D lens, in com-  
 347 parison with clinical examination, finding good agree-  
 348 ment, with a sensitivity of 91% and a specificity of 99%  
 349 for the detection of DR. In the same study, the authors  
 350 recommended that it would be interesting to compare a  
 351 smartphone-based device with a tabletop fundus camera,  
 352 the gold standard for diagnosing DR.

353 In the present study, we found a sensitivity of 0.71 and  
 354 a specificity of 0.94 to detect the presence of DR at any  
 355 level; and a sensitivity of 0.76 and specificity of 0.99 to  
 356 detect proliferative DR; as well as a sensitivity of 0.72  
 357 and specificity of 0.94 to detect macular exudates. We  
 358 attribute the lower values of sensitivity and specificity in  
 359 the present study to the fact that the users of the smart-  
 360 phone-based fundus camera were not used to fundus  
 361 photography, while in the previous studies smartphone-  
 362 based ophthalmoscopy was performed by a retina spe-  
 363 cialist [8][9]. Williams GA et al. in their study stated that  
 364 there is level I evidence that single-field fundus photog-  
 365 raphy with interpretation by trained readers can serve as  
 366 a screening tool to identify patients with diabetic retin-  
 367 opathy for referral for ophthalmologic evaluation and  
 368 treatment, but it is not a substitute for a comprehensive  
 369 eye examination [11]. Ryan M.E. et al. reported that  
 370 photographs from smartphones assisted by 20 diopters  
 371 lenses had a low rate of unclassifiable images, and most of

372 them had at least satisfactory quality. The sensitivity and  
 373 specificity of smartphone photographic detection of DR  
 374 compared with the conventional photographs were 50%  
 375 (95% CI, 43–56) and 94% (95% CI, 92–97), respectively.  
 376 Kappa was 0.48 (95% CI, 0.41–0.56), indicating moder-  
 377 ate agreement between the smartphone and the 7-field  
 378 mydriatic photographs. Our study, regarding the pres-  
 379 ence or absence of DR, showed a kappa of 0.67, sensitiv-  
 380 ity of 71.0% (confidence interval—CI: 65.05–78.16%) and  
 381 specificity of 94.06% (CI: 90.63–97.49%). The smartphone  
 382 was less sensitive than non-mydriatic photography in  
 383 detecting the presence of DR at any degree. However, the  
 384 two methods were similar in detecting vision threatening  
 385 stages of the disease. Although both methods have shown  
 386 robust specificity, smartphone-based teleophthalmology  
 387 screening represents a much lower cost of implementa-  
 388 tion, and could be particularly useful as a tool that allows  
 389 for detection of the disease in patients who may not have  
 390 proper access to eye care [12]. Furthermore, considering  
 391 that artificial intelligence (AI) systems are currently being  
 392 developed and gradually implanted worldwide [13, 14], it  
 393 is plausible to assume that the portability of smartphone-  
 394 generated images could, in a near future, act synergisti-  
 395 cally with the power of AI in order to amplify access to  
 396 eye care.

397 In line with the other studies in literature (Russo et al.  
 398 and Toy et al.), our study confirmed two important  
 399 aspects of screening for DR through a smartphone-based  
 400 fundus camera: its specificity tends to be greater than its  
 401 sensitivity, and its sensitivity is always increased for the  
 402 detection of the proliferative phase of the disease, where  
 403 findings are more exuberant when compared to the initial  
 404 stages, which present with only discrete microaneurysms  
 405 and microhemorrhages.

406 **Conclusion**

407 High cost and low availability of eye examination, espe-  
 408 cially when requiring in-site experts, represent an impor-  
 409 tant limitation for DR screening. Fundus images taken  
 410 through a smartphone-based method by undergraduate  
 411 students, here adopted as surrogates for professionals  
 412 with no previous experience in eye imaging, may favor  
 413 early diagnosis and severity classification of DR. Imple-  
 414 mentation of this method in primary healthcare settings  
 415 (such as the basic care units of Brazil’s public health sys-  
 416 tem) could allow for broader detection and timely refer-  
 417 ral for intervention in a large population of underserved  
 418 diabetic patients.  
 419

420 **Abbreviations**

421 DR: Diabetic retinopathy; DM: Diabetes mellitus; CI: Confidence Interval; NPDR:  
 422 Non proliferative diabetic retinopathy; PDR: Proliferative diabetic retinopathy.

**Acknowledgements**

We thank Dr. Daniel Ferraz for the reviews.

**Author contributions**

RJ was the primary contributor to research design. JG, VB, JPRB and MM were responsible for research execution and data acquisition. RJ, DF, M.F., and VK were the primary contributors to data analysis and interpretation. Manuscript was prepared by RJ, JPRB, VB, MM, JG, with critical revisions provided by RJ, D.F. and V.K.

**Funding**

The project received financial support from FAEPA (Fundação de Apoio ao Ensino, Pesquisa e Assistência do HCFMRP-USP).

**Availability of data and materials**

All data generated in this study, including the images obtained through both the analysed method and the gold standard, were saved on private cloud storage (Google Drive<sup>®</sup>) for patient safety and privacy. We kindly request any interested parts to contact the authors directly for obtaining access to the database when applicable.

**Declarations**

**Ethics approval and consent to participate**

The project was previously approved by the institution’s ethics committee. Every volunteer received clear explanations about the involved procedures and filled in a declaration of informed consent prior to their participation.

**Consent for publication**

Not applicable.

**Competing interests**

The authors declare no conflict of interest to the discussed topic.

**Role of the sponsors**

Sponsors had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

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Received: 4 March 2022 Accepted: 23 May 2022

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